**DISCIPLINARY COMMITTEE – IV**

**(Constituted under Section 21 B)**

**CAUSE LIST**

**Date of Meeting: 09th November 2021 (Tuesday)**

**Place of Meeting: Through Video conferencing/Physical appearance at ICAI Bhawan, New Delhi**

**ITEM NO. 01: Passing of Orders by the Disciplinary Committee U/s 21B (3) of the Chartered Accountants (Amendment) Act, 2006 read with Rule 19(1) of the Chartered Accountants (Procedure of Investigations of Professional and Other Misconduct and Conduct of Cases) Rules, 2007:**

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| **Sl. No.** | **Name and Ref no. of the cases** | **Time** |
| **01** | Shri Rakesh Dhawan, New Delhi **-vs-**CA. Lokesh Dhawan (M.No. 081041), M/s LD & Associates (FRNo. 017584N), New Delhi  [PR-248/2014-DD/259/14/DC/662/2017] | 11.00 A.M. |
| **02** | Shri Rakesh Dhawan, New Delhi **-vs-** CA. Lokesh Dhawan (M.No. 081041), M/s LD & Associates (FRNo. 017584N), New Delhi  [PR-233/2014-DD/239/14/DC/663/2017] | 11.00 A.M. |

**ITEM NO. 02: Consideration of case(s) listed for hearing under Rule 18 (6) of the Chartered Accountants (Procedure of Investigation of Professional and Other Misconduct and Conduct of Cases) Rules, 2007:**

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| 1. | Shri Santosh Kumar Joint Director (RD, NR) and Shri S.K. Saxena, Deputy Director (SFIO) Office of the Regional Director (NR), NOIDA  -Vs- CA. Sanjay Bhardwaj (M.No.087847), New Delhi  [PR-227/14-DD/230/2014/DC/959/2018] | 11.10 A.M. |
| 2. | Shri Kirti Azad, Member of Parliament (Lok Sabha), New Delhi  -Vs-  CA. Sanjay Bhardwaj (M.No.087847), New Delhi  [PR-218/14-DD/231/14/DC/432/2015] | 11.15 A.M. |
| 3. | Shri Umesh Chugh, Chief Manager, Zonal Internal Audit Division, Bank of Baroda, New Delhi  -vs-  CA. Manoj Pangotra (M. No. 096372), M/s V D Tiwari & Co. (FRN 002882N), Chartered Accountants, New Delhi  [PR/307/15/DD/22/2016/DC/674/2017] | 11.20 A.M. |