**DISCIPLINARY COMMITTEE – IV**

**(Constituted under Section 21 B)**

**CAUSE LIST**

**Date of meeting : 07th September, 2021 (Tuesday)**

**Place of Meeting : Through Video conferencing/Physical appearance at ICAI Bhawan, New Delhi**

 **ITEM NO. 02: Passing of Orders by the Disciplinary Committee U/s 21B (3) of the Chartered Accountants (Amendment) Act, 2006 read with Rule 19(1) of the Chartered Accountants (Procedure of Investigations of Professional and Other Misconduct and Conduct of Cases) Rules, 2007:**

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| **Sl. No.** | **Name and Ref no. of the cases** |
| **01** | Niraj Sharma, Vice President, National Stock Exchange Ltd., Mumbai -vs- CA. Naresh Kumar (M.No.090860), Ludhiana**[PR 183/2014-DD/207/2014/DC/479/2016]** |
| **02** | Shri Saud Ahmad, Joint Director, SFIO, MCA, New Delhi-vs-CA. Amit Kumar Gupta (M.No.099522) of m/s. Vijay S. Gupta & Associates, Chartered Accountants, Delhi**[PR/146/2016/DD/177/2016/DC/679/2017]** |
| **03** | Shri Rajbir Singh, Dy. Supdt. of Police, CBI, BS & FC, New Delhi -VS- CA. Sanjeev Krishan (M.No.094819) of M/s. Sanjeev Krishan & Co., Chartered Accountants, Rohtak**[PR/187/15-DD/11/2016/DC/703/2017]** |

**ITEM NO. 03: Consideration of case(s) listed for hearing under Rule 18 (6) of the Chartered Accountants (Procedure of Investigation of Professional and Other Misconduct and Conduct of Cases) Rules, 2007:**

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| **Sl. No.** | **Name and Ref no. of the cases** |
| 1. | Chief Manager, State Bank of Patiala, Narwana -vs- CA. Manoj Kumar (M.No.93061) Prop.of M/s. Manoj Visharad & Associates, Narwana (Hr.)**[PR 266/13/DD/07/2014/DC/460/2016]** |
| 2. | Shri Umesh Chugh, Chief Manager, Zonal Internal Audit Division, Bank of Baroda, New Delhi-vs-CA. Manoj Pangotra (M. No. 096372), M/s V D Tiwari & Co. (FRN 002882N), Chartered Accountants, New Delhi**[PR/307/15/DD/22/2016/DC/674/2017]** |
| 3. | CA Prem Kumar Gumber, New Delhi -vs- CA Simran Singh (M.No.098641) M/s D Singh & Co., Delhi **[PR/158/17-DD/176/2017/DC/1130/2019]** |
| 4. | CA. Soumitra Sarkar, M/s. Sarkar Soumitra & Co., Chartered Accountants, Kolkata -vs- CA. Girish Nathani (M.No. 88716), M/s. Girish Neelam & Associates, Chartered Accountants, New Delhi**[PR /210/2013/DD/200/2013/DC/737/2017]** |
| 5. | Shri Varun Rao, Director, Leo Design and Packaging Private Limited, Chandigarh -Vs- CA. Kamini Sehgal (M.No.513883), Mohali**[PR-227/18/DD/263/2018/DC/1332/2020]** |

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