**DISCIPLINARY COMMITTEE – IV**

**(Constituted under Section 21 B)**

**CAUSE LIST**

**Date of meeting: 07th April, 2021**

**Place of Meeting: Through Video conferencing/Physical appearance at ICAI Bhawan, New Delhi**

**ITEM NO. 01: Passing of Orders by the Disciplinary Committee U/s 21B (3) of the Chartered Accountants (Amendment) Act, 2006 read with Rule 19(1) of the Chartered Accountants (Procedure of Investigations of Professional and Other Misconduct and Conduct of Cases) Rules, 2007:**

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| **Sl. No.** | **Name and Ref no. of the cases** |
| **01** | Shri Parag B Dholakia, Authorised Representative, Kotak Mahindra Bank Ltd., Mumbai -Vs- CA. Rakesh Soni (M. No. 083142), Partner, M/s Dass Khanna & Co (FRNo.000402N), Chartered Accountants, Ludhiana  [PR/293/15-DD/273/15/DC/611/2017] |
| **02.** | Shri P Chandrasekara Reddy, Dy. General Manager(Inspn. & Vigilance), Dhanalakshmi Bank Ltd., Thrissur -vs- CA. Vijay Kumar Sharma, (M. No. 415357) Mohali, Punjab)  [PR/283/2014-DD/300/2014/DC/625/201] |
| **03.** | Sh. Vaneet Bansal, Gurgaon -vs- CA. Rishi Kapoor (M. No. 511912) of M/s. Rishi G Kapoor & Co., Chartered Accountants, New Delhi  [PR/115/2015/DD/140/2015/DC/678/2017] |
| **04** | Shri K.S. Kaushik, Serious Fraud Investigation Office, Ministry of Corporate Affairs, New Delhi -vs- CA. Chandra Kant Garg (M.No. 079692), New Delhi  [PR-114/2014/DD/126/2014/DC/672/2017] |
| **05** | Shri Saud Ahmad, Joint Director, SFIO, MCA, New Delhi -vs- CA. Amit Kumar Gupta (M.No.099522) of M/s. Vijay S. Gupta & Associates, Chartered Accountants, Delhi  [PR/146/2016/DD/177/2016/DC/679/2017] |